PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031 mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | Application Number | 10698197 | | |
|--|----------------------------|----------|--------------------|--|
| INFORMATION DIGGI COURT | Filing Date | | 2003-10-31 | |
| INFORMATION DISCLOSURE | First Named Inventor Pradi | | pta Kumar Banerjee | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | | 2157 | |
| (Not for submission under 57 of K 1.99) | Examiner Name | Ramy | M. Osman | |

| | | | | Attorn | Attorney Docket Number JP920030162US1 | | | | | | | |
|--|--|-----|--------------------------------------|------------------------------|---------------------------------------|---------------|---|--------------------|--|---|-----|--|
| | ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RO/ | | | | | | | | | | | |
| | U.S.PATENTS | | | | | | | | | | | |
| Examiner Initial* | Cite No | Р | Patent Number | Kind Code ¹ | Issue D |)ate | | | Pages,Columns,Lines where Relevant Passages or Relev Figures Appear | | | |
| | 1 | | | | | | | | | | | |
| If you wis | h to ad | d a | dditional U.S. Pater | t citatio | n inform | ation pl | ease click the | Ad | dd button. | | | |
| | | | | U.S.P. | ATENT | APPLI | CATION PUBL | LIC | CATIONS | | | |
| Examiner Initial* | Cite N | ю | Publication Number | Kind Code ¹ | Publica Date | ition | Name of Patentee or Applicant of cited Document | | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | | | |
| | 1 | | | | | | | | | | | |
| If you wis | h to ad | d a | dditional U.S. Publis | shed Ap | plication | citation | n information p | olea | ase click the Add | butto | on. | |
| | | | | | FOREIG | SN PAT | ENT DOCUM | EN | NTS | | | |
| Examiner Initial* | | | reign Document Imber ³ | Country Code ² | | Kind Code4 | Publication Date | Applicant of cited | | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | т5 | |
| | 1 | PU | PA 2002-111727 | JP | | | 2002-12-04 | к | DDI CORP. | | | |
| If you wis | h to ad | d a | dditional Foreign Pa | itent Do | cument | citation | information pl | ea | se click the Add | buttor | n | |
| NON-PATENT LITERATURE DOCUMENTS | | | | | | | | | | | | |
| Examiner Litials* Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | | T5 | | | | | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RO/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

English language translation is attached.

| pplication Number | | 10698197 | |
|----------------------------|--|--------------------|--|
| iling Date | | 2003-10-31 | |
| irst Named Inventor Pradip | | ota Kumar Banerjee | |
| Art Unit | | 2157 | |
| xaminer Name Ramy | | M. Osman | |
| Attorney Docket Number | | JP920030162US1 | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RO/

| | | 1 | Miyake, Yasuo, "Perfect Manual for Worm Measures-Construction of Firewall," Unix User, April 2002, Softbank Publishing Company, Vol. 11, No. 4, pp. 45-50. | | | | |
|---|--|---|---|--|--|--|--|
| | | 2 | Katzuno, H, "Basics of Security for New Managers (for Practical Application) 3rd Edition: The Right Usage of Intrusion Detection System (IDS)," Nikkei Open System, Nikkei BP, Nov. 26, 2002, No. 116, pp. 170-177. | | | | |
| If you wish to add additional non-patent literature document citation information please click the Add button | | | | | | | |
| т | | | | | | | |

| EXAMINER SIGNATURE | | | | | | |
|---|--------------|-----------------|------------|--|--|--|
| Examiner Signature | /Ramy Osman/ | Date Considered | 03/29/2010 | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must proceed the serial number of the patent document. 4 Kind of document by the aconomistes whole as indicated on the document under WIPO Standard ST.16 fl oossible. 4 Apolicant is to lace a check mark here if which is the control of the patent document under WIPO Standard ST.16 fl oossible. 5 Apolicant is to lace a check mark here if which is the control of the patent document under WIPO Standard ST.16 fl oossible. 5 Apolicant is to lace a check mark here if which is the patent with the patent will be supported by the patent document under the patent docum

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RO/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

| Application Number | | 10698197 |
|-----------------------------|--|--------------------|
| Filing Date | | 2003-10-31 |
| First Named Inventor Pradip | | ota Kumar Banerjee |
| Art Unit | | 2157 |
| Examiner Name Ramy | | M. Osman |
| Attorney Docket Number | | JP920030162US1 |

CERTIFICATION STATEMENT

| Please see 37 CF | FR 1 97 and 1 | 98 to make the appropriate | selection(s) |
|------------------|---------------|----------------------------|--------------|

That each item of information contained in the information disclosure statement was first cited in any communication of form a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1-97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.76(2).

▼ See attached certification statement.

Eee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

Fee was submitted with certification statement dated 3/17/2010, which this statement replaces.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /anthony v.s. england/ | Date (YYYY-MM-DD) | 2010-03-27 |
|------------|------------------------|---------------------|------------|
| Name/Print | Anthony V.S. England | Registration Number | 35,129 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLIETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that. (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neodiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an iscus patient.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.